



(832) 510-1030 / MP2Energy.com / 21 Waterway Avenue, Suite 450, The Woodlands, TX 77380

March 29, 2019

By Federal Express

Executive Director NHPUC 21 South Fruit St., Suite 10 Concord, NH 03301 NEPLIC ZAPR'19AMB:08

RE: MP2 Energy NE LLC's Application for a Competitive Electric Power Supplier License in the State of New Hampshire

Dear Sir/Madam,

MP2 Energy NE LLC ("MP2") seeks to become a licensed Competitive Electric Power Supplier in New Hampshire. Please find enclosed the completed Application (one original hard copy and two additional hard copies). Additionally, a copy of the Application has been emailed to the Executive Director as instructed.

Please contact me at 832-510-1070 or drew.baird@mp2energy.com if you have any questions about this application.

Sincerely,

Drew Baird

Vice President of Regulatory Affairs

Enclosures





CEPS Registration Puc 2006.01 Rev. 3/27/2019 Page 1 of 7

Competitive Electric Power Supplier Application Form

This form may be used to: (1) apply for initial registration as a competitive electric power supplier (CEPS) in New Hampshire, (2) apply for renewal of registration as a CEPS in New Hampshire, and (3) notify the Commission of any changes to information in a previously filed CEPS application. This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.01 when applying for initial or renewal registration as a CEPS, but you are not required to use this form when doing so.

| | | Applicant's General Information | | | | | | |
|-------------------|--|---|--|--|--|--|--|--|
| Puc 2006.01(a) | Legal Name | MP2 Energy NE LLC | | | | | | |
| , , | Trade Name (d/b/a) (if applicable) | NA NA | | | | | | |
| Puc 2006.01(b) | Business Mailing Address | 21 Waterway Avenue, Suite 450 | | | | | | |
| | | The Woodlands, TX 77380 | | | | | | |
| | Telephone Number | 832-510-1030 | | | | | | |
| | E-Mail Address | regulatory@mp2energy.com | | | | | | |
| | Website Address | www.mp2energy.com | | | | | | |
| Puc 2006.01(c) | | n of organization, if anything other than an individual. | TEXAS | | | | | |
| Puc 2006.01(d) | Provide the name(s), title(s), but individual, or of the applicant's | usiness address(es), telephone number(s), and e-mail address(es) of principal(s)1 if it is anything other than an individual. Use additional s | the applicant if an sheets as needed. | | | | | |
| | Name | Daren Rubink | | | | | | |
| | Title | Chief Finance Officer | | | | | | |
| | Business Mailing Address | 21 Waterway Avenue, Suite 450 | | | | | | |
| | | The Woodlands, TX 77380 | | | | | | |
| | Telephone Number | 832-510-1085 | | | | | | |
| | E-Mail Address | daren.rubink@mp2energy.com | | | | | | |
| | Name | Amanda L. Mussalli | | | | | | |
| | Title | Executive Vice President and General Counsel | | | | | | |
| | Business Mailing Address | 21 Waterway Avenue, Suite 450 | | | | | | |
| | | The Woodlands, TX 77380 | | | | | | |
| | Telephone Number | 832-510-1072 | | | | | | |
| | Email Address | amanda.mussalli@mp2energy.com | | | | | | |
| | Name | Josh Weiser | | | | | | |
| | Title | Vice President - Finance and Treasurer | | | | | | |
| | Business Mailing Address | 21 Waterway Avenue, Suite 450 | | | | | | |
| | 7, 100, 000 | The Woodlands, TX 77380 | | | | | | |
| | Telephone Number | 832-510-1031 | 832-510-1031 | | | | | |
| | E-Mail Address | josh.weiser@mp2energy.com | | | | | | |

______ incipals" means, for a corporation, any of its officers, directors, or controlling shareholders, fo

^{1 &}quot;Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.





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| | | Affiliates and Subsidiaries | | | | |
|-------------------|---|---|--|--|--|--|
| Puc 2006.01(e) | Provide the following information regarding any affiliates2 and subsidiaries of the applicant that are conducting business in New Hampshire. Use additional sheets as needed. | | | | | |
| | | | | | | |
| | Name of Entity | SHELL ENERGY NORTH AMERICA (US), L.P. | | | | |
| | Business Address | 1000 S. Main Street | | | | |
| | | Houston, TX 77002 | | | | |
| | Telephone Number | 713-767-5500 | | | | |
| | Provide a description of the COMPETITIVE NATURAL GAS | business purpose of the entity. SUPPLIER | | | | |
| | Provide a description of any filing of any such agreemen Docket Number DM06-153 | agreements with any affiliated New Hampshire utility, and the docket number relative to the ts with the Commission. | | | | |
| | Name of Entity | NA | | | | |
| | Business Address | NA | | | | |
| | | NA | | | | |
| | Telephone Number 000-000-0000 | | | | | |
| | Provide a description of the NA | business purpose of the entity. | | | | |
| | Provide a description of any filing of any such agreemen NA | agreements with any affiliated New Hampshire utility, and the docket number relative to the ts with the Commission. | | | | |

^{2 &}quot;Affiliate" means any of the following:

⁽a) Any person or entity that directly or indirectly owns, controls, or holds with power to vote a majority of the outstanding voting

securities or such minority thereof as to give such person substantial control of another person or entity;

(b) Any person or entity that is directly or indirectly owned, controlled, or held by any person or entity described in (a) above through either power to vote a majority of the outstanding voting securities or such a minority so as to maintain substantial control of such

⁽c) Any person or entity with which another person or entity has a management or service contract or arrangement that provides such person or entity with effective control over the management, supervision, or operation of the other person or entity; or

⁽d) Any person or entity who or which actually exercises effective control over the management, supervision, or operation of another person or entity.





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| Customer Service Department Contact | | | | | | |
|-------------------------------------|---|--|--|--|--|--|
| Puc 2006.01(f) | Name | Andrew Few | | | | |
| | Title | Vice President of Retail Operations | | | | |
| | Toll-Free Telephone Number (if available) | 877-238-5343 | | | | |
| | Telephone Number | 832-510-1087 | | | | |
| | E-Mail Address | MP2customerservice-ISONE@mp2energy.com | | | | |

| Customer Complaints Contact | | | | | | |
|-----------------------------|--------------------------|--------------------------------------|--|--|--|--|
| Puc 2006.01(g)(1) | Name | Drew Baird | | | | |
| | Title | Vice President of Regulatory Affairs | | | | |
| | Business Mailing Address | 21 Waterway Avenue, Suite 450 | | | | |
| | | The Woodlands, TX 77380 | | | | |
| | Telephone Number | 832-510-1070 | | | | |
| | E-Mail Address | regulatory@mp2energy.com | | | | |

| | Regulatory Compliance Matters Contact | | | | | | |
|----------------------|---------------------------------------|--------------------------------------|--|--|--|--|--|
| Puc 2006.01(g)(2) | Name | Drew Baird | | | | | |
| | Title | Vice President of Regulatory Affairs | | | | | |
| | Business Mailing Address | 21 Waterway, Avenue, Suite 450 | | | | | |
| | | The Woodlands, TX 77380 | | | | | |
| | Telephone Number | 832-510-1070 | | | | | |
| | E-Mail Address | regulatory@mp2energy.com | | | | | |

| | Commission Assessment Payments Contact | | | | | |
|----------------------|--|-------------------------------|--|--|--|--|
| Puc 2006.01(g)(3) | Name | Cathy Reich | | | | |
| | Title | Director of Accounting | | | | |
| | Business Mailing Address | 21 Waterway Avenue, Suite 450 | | | | |
| | | The Woodlands, TX 77380 | | | | |
| | Telephone Number | 832-510-1103 | | | | |
| | E-Mail Address | accountspayable@mp2energy.com | | | | |





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| Se | parate Attachments: Business Authority, Trade Name, ISO-NE Market Participation, and EDI Certification | | | | | |
|-------------------|---|--|--|--|--|--|
| Puc 2006.01(h) | Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting, with this application form, either of the following: | | | | | |
| | (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or | | | | | |
| | (2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire. | | | | | |
| Puc 2006.01(i) | Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting, with this application form, either of the following: | | | | | |
| | (1) A recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or | | | | | |
| | (2) A copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name. | | | | | |
| Puc 2006.01(j) | Provide, as a separate attachment, evidence of the applicant's ISO New England market participant membership. | | | | | |
| Puc 2006.01(k) | Provide, as a separate attachment, electronic data interchange (EDI) certification from each electric distribution utility in whose franchise area the applicant intends to operate. | | | | | |

| | Franchise Areas, Customer Types to be Served, and Other States | | | | | |
|-------------------|--|--|--|--|--|--|
| Puc 2006.01(I) | List the utility franchise areas in which the applicant intends to operate and, to the extent the applicant does not intend to provide service in the entire franchise area of a utility, a delineation of the cities and towns where the applicant intends to provide service within each utility franchise area. | | | | | |
| | UNITIL ENERGY SYSTEMS, INC. | | | | | |
| | EVERSOURCE ENERGY | | | | | |
| | NEW HAMPSHIRE ELECTRIC CO-OP | | | | | |
| Puc 2006.01(m) | Provide a statement whether or not the applicant intends to serve the following types of customers: residential, small commercial, large commercial, and industrial. | | | | | |
| | MP2 ENERGY NE LLC INTENDS TO SERVE THE FOLLOWING TYPES OF CUSTOMERS: LARGE COMMERCIAL AND INDUSTRIAL | | | | | |
| Puc 2006.01(n) | List the other states or jurisdictions in which the applicant currently conducts business relating to the sale of electricity. | | | | | |
| | PJM, MISO | | | | | |





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| | Customer Complaints | | | | | |
|-------------------|---|--|--|--|--|--|
| Puc 2006.01(o) | Use either the table below or a separate attachment to provide a list disclosing the number and type of customer complaints concerning the applicant or its principals and affiliates filed with or by any commission or regulatory agency, attorney general's office, or other governmental consumer protection regulatory authority, for the most recent 2 calendar years in every state or other jurisdiction in which the applicant has conducted business relating to the sale of electricity. | | | | | |
| | In the table below, enter abbreviations of applicable states or the jurisdiction across the top row, complaint types in the left column, and, for each cell in the table, the number of complaints for each type within the applicable state or jurisdiction. | | | | | |

| | | | (enter | applicable | e states/iu | risdictions | s in row ius | st below) | | | 1 |
|--------------------------|----|----|--------|------------|-------------|-------------|--------------|-----------|---|----|-------|
| | DC | DE | IL | MD | NJ | ОН | PA | VA | | TX | |
| Complaint Type | | | | | | | | - | • | • | Total |
| SLAMMING | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | | 1 | 1 |
| DISCONNECTION OF SERVICE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 1 | 1 |
| BILLING | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | 3 | 3 |
| | | | | | | | | | | | 0 |
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| | | | | | | | | | | | 0 |
| | | | | | | | | | | | 0 |
| Total | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 5 | 6 |

^{*} All customer complaints listed above were closed by the respective state agency with applicant, or its affiliates, having been found not at fault.





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| | Statements Regarding Applicant and its Principals | | | | | | |
|----------------------|---|----------------|--|--|--|--|--|
| | Respond to each of the following questions with either "Yes" or "No." | | | | | | |
| Puc 2006.01(p)(1) | Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court? | No | | | | | |
| Puc 2006.01(p)(2) | Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation? | No | | | | | |
| Puc 2006.01(p)(3) | Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation? | No | | | | | |
| Puc 2006.01(p)(4) | Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation? | No | | | | | |
| Puc 2006.01(p)(5) | Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction? | No | | | | | |
| Puc 2006.01(q) | If an affirmative answer is provided to any item above, then provide a detailed explanation of the occur related circumstances. Use additional sheets as needed. | rrence and the | | | | | |
| | NA NA | | | | | | |
| | | | | | | | |

| | Telemarketing | | | | | |
|----------------------|--|----|--|--|--|--|
| Puc 2006.01(s) | Does the applicant intend to telemarket? Respond with either "Yes" or "No." | No | | | | |
| | If the response to the question above is "Yes," then respond to the following three questions: | | | | | |
| Puc 2006.01(r)(1) | Will the applicant maintain a list of customers who request being placed on the applicant's do-no-call list for the purposes of telemarketing? | NA | | | | |
| Puc 2006.01(r)(2) | Will the applicant obtain monthly updated do-no-call lists from the National Do Not Call Registry? | NA | | | | |
| Puc 2006.01(r)(3) | Will the applicant NOT initiate calls to New Hampshire customers who have either requested being placed on the applicant's do-not-call list(s) or who are listed on the National Do Not Call Registry? | NA | | | | |

| In-Person Solicitation of Residential Customers | | | | |
|---|---|--------|--|--|
| Puc 2006.01(u) | Does the applicant intend to enroll residential customers through in-person solicitation at the customer's residence? Respond with either "Yes" or "No." | NO | | |
| | If the response to the question above is "Yes," then provide the following items as separate attach | ments: | | |
| Puc 2006.01(t)(1) | A plan for in-person solicitation of residential customers at their residences, including provisions to ensure legal and regulatory compliance and quality assurance. | | | |
| Puc 2006.01(t)(2) | A description of the applicant's training program for employees or representatives who will conduct in-person solicitation of residential customers at their residences. | | | |
| Puc 2006.01(t)(3) | An identification of any third party vendor or vendors the applicant intends to use to conduct in-person solicitation of residential customers at their residences. | | | |
| Puc 2006.01(t)(4) | A copy of the applicant's performance standards and code of conduct for any employees, representatives, or vendors who or which will conduct in-person solicitation of residential customers at their residences. | | | |
| Puc 2006.01(t)(5) | A copy of field audit standards used to evaluate the performance of employees, representatives, or vendors who or which will conduct in-person solicitation of residential customers at their residences. | | | |





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| | Sample Bill Form | | |
|----------------------|---|---------------------|--|
| Puc 2006.01(v) | Does the applicant intend to use only the utility's billing service? Respond with either "Yes" or "No." | No | |
| Puc 2006.01(v) | If the response to the question above is "No," then provide a sample of the bill form(s) the applicant in separate attachment. | itends to use as a | |
| | Residential and Small Commercial Customer Contracts | | |
| Puc 2006.01(w) | Does the applicant intend to serve residential and small commercial customers? Respond with either "Yes" or "No." | No | |
| | If the response to the question above is "Yes," then provide the following item as a separate attachmen | ent: | |
| Puc 2006.01(w) | A copy of each contract to be used for residential customers and for small commercial customers, including any schedules or other documentation attached to, incorporated into, or referenced in such contract. | | |
| | File Financial Security Instrument | | |
| | Refer to Puc 2003.03 for the financial security requirements. | | |
| Puc 2003.01(b)(2) | Provide an original executed financial security instrument that meets the requirements of Puc 2003.03 | 3. | |
| | File the original, executed financial security instrument with the Executive Director. The financial secube filed separately from the application form, by U.S. mail, overnight express, or hand delivery. | ırity instrument ca | |
| | Submit Application Fee (For Initial Applications Only) | | |
| _ | For an application for initial registration, submit payment of the application fee in the amount of \$250.00 to the Executiv Director by U.S. mail, overnight express, or hand delivery. Make check payable to: New Hampshire Public Utilities Commission. | | |
| Puc 2003.01(b)(3) | Director by U.S. mail, overnight express, or hand delivery. Make check payable to: New Hampshire P | | |
| | Director by U.S. mail, overnight express, or hand delivery. Make check payable to: New Hampshire P | | |
| | Director by U.S. mail, overnight express, or hand delivery. Make check payable to: New Hampshire P Commission. | | |
| 2003.01(b)(3) | Director by U.S. mail, overnight express, or hand delivery. Make check payable to: New Hampshire P Commission. Note that there is no fee for a renewal application. | | |
| 2003.01(b)(3) | Director by U.S. mail, overnight express, or hand delivery. Make check payable to: New Hampshire P Commission. Note that there is no fee for a renewal application. Expected Marketing Start Date Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire. | cublic Utilities | |
| Puc 2006.01(x) | Director by U.S. mail, overnight express, or hand delivery. Make check payable to: New Hampshire P Commission. Note that there is no fee for a renewal application. Expected Marketing Start Date Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire. Attestation and Signature | cublic Utilities | |
| 2003.01(b)(3) | Director by U.S. mail, overnight express, or hand delivery. Make check payable to: New Hampshire P Commission. Note that there is no fee for a renewal application. Expected Marketing Start Date Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire. Attestation and Signature By Signing Below, the applicant representative Certifies that it has the authority to file the application on Behalf of the ceps and attests that the contents of the application are Truthful, accurate, and complete. Signature of the applicant or its authorized representative | cublic Utilities | |
| Puc 2006.01(y) | Director by U.S. mail, overnight express, or hand delivery. Make check payable to: New Hampshire P Commission. Note that there is no fee for a renewal application. Expected Marketing Start Date Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire. Attestation and Signature By SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE CEPS AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE. Signature of the applicant or its authorized representative Name: AMANDA L. MUSSALLI | cublic Utilities | |
| Puc 2006.01(y) | Director by U.S. mail, overnight express, or hand delivery. Make check payable to: New Hampshire P Commission. Note that there is no fee for a renewal application. Expected Marketing Start Date Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire. Attestation and Signature By Signing Below, the applicant representative Certifies that it has the authority to file the application on Behalf of the ceps and attests that the contents of the application are Truthful, accurate, and complete. Signature of the applicant or its authorized representative | cublic Utilities | |

2) E-mail a PDF of this form and all separate attachments to:

Executive.Director@puc.nh.gov



| Section PUC 2006.01(d) of Competitive Electric Power Supplier Application Supplemental Pages | | | | |
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| SUPPLEMENTAL DOCUMENTATION - Section PUC 2006.01(d): | | | | |
| Name(s), title(s), business address(es), telephone number(s), and email address(es) of the applicant if an individual, or of the applicant's principal(s) ₁ if it is anything other than an individual, continued. | | | | |
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^{1 &}quot;Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



Section PUC 2006.01(d) of Competitive Electric Power Supplier Application Supplemental Page

* MP2 Energy NE LLC - Officers (cont.)

Name: Lynn Borgmeier

Title: Secretary

Business Mailing Address: 150 N. Dairy Ashford Rd.

Houston, TX 77079

Telephone Number: 832-337-2000

E-Mail Address: lynn.borgmeier@shell.com

Name: Amanda L. Mussalli
Title: Assistant Secretary

Business Mailing Address: 21 Waterway Avenue, Suite 450

The Woodlands, TX 77380

Telephone Number: 832-510-1072

E-Mail Address: amanda.mussalli@mp2energy.com

* MP2 Energy LLC (and all wholly owned subsidiaries) Board of Directors

Name: Glenn Wright

Title: Chairman of the Board Business Mailing Address: 1000 S. Main Street

Houston, TX 77002

Telephone Number: 713-767-5500

E-Mail Address: glenn.wright@shell.com

Name: Jeff Starcher

Title: Vice Chairman of the Board

Business Mailing Address: 21 Waterway Avenue, Suite 450

The Woodlands, TX 77380

Telephone Number: 832-510-1037

E-Mail Address: jeff.starcher@mp2energy.com

Name: Dave Davis
Title: Director

Business Mailing Address: 50N. Dairy Ashford Rd.

Houston, TX 77079

Telephone Number: 832-337-2000

E-Mail Address: dave.davis@shell.com

Name: Chris Riley
Title: Director

Business Mailing Address: 1000 S. Main Street

Houston, TX 77002

Telephone Number: 713-767-5500

E-Mail Address: christopher.riley@shell.com



Section PUC 2006.01(d) of Competitive Electric Power Supplier Application Supplemental Page

Name:

Daren Rubink

Title:

Director

Business Mailing Address:

21 Waterway Avenue, Suite 450

Houston, TX 77002

Telephone Number:

832-510-1085

E-Mail Address:

daren.rubink@mp2energy.com

Name:

Matthew Adams

Title:

Director

Business Mailing Address:

21 Waterway Avenue, Suite 450

The Woodlands, TX 77380

Telephone Number:

832-510-1033

E-Mail Address:

matthew.adams@mp2energy.com

Name:

Eric Gillaspie

Title:

Director

Business Mailing Address:

150 N. Dairy Ashford Rd.

Houston, TX 77079

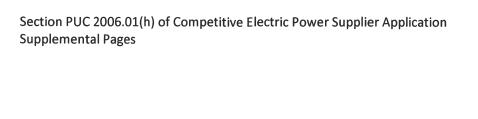
Telephone Number:

832-337-2000

E-Mail Address:

eric.gillaspie@shell.com





SUPPLEMENTAL DOCUMENTATION – Section PUC 2006.01(h)(1):

Recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import

State of New Hampshire Department of State

CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that MP2 ENERGY NE LLC is a Texas Limited Liability Company registered to transact business in New Hampshire on July 25, 2017. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: 775564

Certificate Number: 0004370756



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 8th day of January A.D. 2019.

William M. Gardner

Secretary of State



Section PUC 2006.01(j) of Competitive Electric Power Supplier Application

Supplemental Pages



MARKET PARTICIPANT SERVICE AGREEMENT

This MARKET PARTICIPANT SERVICE AGREEMENT is dated this 1st day of September, 2018 and is entered into by and between:

MP2 Energy NE LLC, having its principal place of business located at 21 Waterway Avenue, Suite 450, The Woodlands, TX 77380 (the "Market Participant");

and

ISO New England Inc., a Delaware corporation having its principal place of business located at One Sullivan Road, Holyoke, MA 01040-2841, and acting as the Regional Transmission Organization for New England ("ISO").

The Market Participant and the ISO are sometimes hereinafter referred to individually as a "Party" and collectively as the "Parties."

BACKGROUND

- A. The ISO operates the New England Transmission System pursuant to a certain Transmission Operating Agreement dated February 1, 2005, and other agreements entered into with merchant and other transmission owners. The ISO's operation of the New England Transmission System is intended to insure the reliability of the New England Transmission System. Subject to the requirements of bulk power supply reliability, the ISO provides non-discriminatory, open access to the New England Transmission System pursuant to the ISO's Transmission, Markets and Services Tariff on file with the Federal Energy Regulatory Commission (the "Commission") (as amended from time to time, the "Tariff").
- B. The ISO operates competitive markets for the purchase and sale of energy, capacity, FTRs, certain demand response services, and certain Ancillary Services. The ISO also offers other related products, services and transactions pursuant to the Tariff. The ISO is the Counterparty with respect to transactions involving these markets, products, and services. Accordingly, the ISO seeks to create and sustain open, non-discriminatory, competitive, unbundled markets for energy, capacity, and ancillary services (including Operating Reserves) that operate efficiently consistent with proper standards of reliability and the long-term sustainability of competitive markets.
- C. The ISO operates purchase programs for certain Ancillary Services that are not procured through competitive markets. The ISO seeks to operate purchase programs for such services at rates that are intended to compensate sellers at not less than the incremental cost of providing such services and to attract and sustain adequate supplies of such services.
- D. The ISO seeks to provide transparency with respect to the operation of and the pricing in markets and purchase programs to allow informed participation and encourage ongoing market improvements.
- E. The ISO seeks to provide access to competitive markets within the New England Control Area and to neighboring regions.

- F. The Market Participant made an application to the ISO to be eligible to participate in the markets and purchase programs for energy, capacity ancillary services and related products and services administered by the ISO.
 - G. The ISO has accepted the Market Participant's application.
- H. The Market Participant and the ISO wish to set forth the terms and conditions upon which the ISO will provide services and the Market Participant may participate in the markets and programs administered by the ISO.

AGREEMENTS

In consideration of the mutual covenants set forth herein, the Parties, intending to be legally bound, agree as follows:

ARTICLE 1 DEFINITIONS, INTERPRETATIONS AND OBJECTIVES

1.1 Definitions.

Capitalized terms not defined herein shall have the meanings given them in the Tariff.

1.2 Interpretation.

In this Agreement, unless otherwise indicated or otherwise required by the context, the following rules of interpretation shall apply:

- (a) Reference to and the definition of any document or specific section thereof (including this Agreement and the ISO New England Operating Documents) shall be deemed a reference to such document as it may be amended, supplemented, revised or modified from time to time and any document that is a successor thereto. Nothing herein shall limit the ISO's right to modify the ISO New England Operating Documents as expressly provided in the Tariff and the laws and regulations governing the adoption and amendment of the ISO New England Operating Documents.
- (b) The article and section headings and other captions in this Agreement are for the purpose of reference only and do not limit or affect its meaning.
- (c) Defined terms in the singular shall include the plural and vice versa, and the masculine, feminine or neuter gender shall include all genders.
- (d) The term "including" when used herein shall be by the way of example only and shall not be considered in any way a limitation.
- (e) Unless the context otherwise requires, any reference to a Party includes a reference to its permitted successors and assigns.

1.3 Mission of ISO.

The mission of ISO is (through means including but not limited to planning, central dispatching, coordinated maintenance of electric supply and demand-side resources and transmission facilities, obtaining emergency power for Market Participants from other Control Areas, system restoration (when required), the development of market rules, the provision of an open access regional transmission tariff and the provision of a means for effective coordination with other control areas and utilities situated in the United States and Canada):

- (a) to assure the bulk power supply within the New England Control Area conforms to proper standards of reliability;
- (b) to create and sustain open, non-discriminatory, competitive, unbundled markets for energy, capacity, and ancillary services (including Operating Reserves) that are (i) economically efficient and balanced between buyers and sellers, and (ii) provide an opportunity for a participant to receive compensation through the market for a service it provides in a manner consistent with proper standards of reliability and the long-term sustainability of competitive markets;
- (c) to provide market rules that (i) promote a market based on voluntary participation, (ii) allow market participants to manage the risks involved in offering and purchasing services, and (iii) compensate at fair value (considering both benefits and risks) any required service, subject to FERC's jurisdiction and review;
- (d) to allow informed participation and encourage ongoing market improvements;
- (e) to provide transparency with respect to the operation of and the pricing in markets and purchase programs;
- (f) to provide access to competitive markets within the New England Control Area and to neighboring regions; and.
- (g) to provide for an equitable allocation of costs, benefits and responsibilities among market participants.

In fulfilling this mission and consistent with the preceding principles, the ISO shall strive to perform all its functions and services in a cost-effective manner, for the benefit of all those served by the ISO. To assist stakeholders in evaluating any major ISO initiative that affects market design, system planning or operation of the New England bulk power system, the ISO will provide quantitative and qualitative information on the need for and the impacts, including costs, of the initiative.

ARTICLE 2 TERM AND TERMINATION

2.1 Effective Date.

This Agreement shall be effective as of the later of: (i) the effective date specified in the Commission order accepting the Agreement for filing, and (ii) the date on which the Market Participant is in compliance with the credit review procedures set forth in the ISO New England Operating Documents. This Agreement shall remain in full force and effect until terminated pursuant to Section 2.2 or 2.3 of this Agreement.

2.2 Termination by the ISO.

The ISO may terminate this Agreement, upon the Market Participant committing any material default under this Agreement as provided in the ISO New England Operating Documents. With respect to any termination pursuant to this Section, the ISO must file a notice of termination with the Commission. This Agreement shall terminate upon acceptance by the Commission of such notice of termination.

2.3 Termination by Market Participant.

In the event that the Market Participant no longer wishes to participate in the New England Markets or provide or receive services through the New England Transmission System with respect to any Asset then subject to this Agreement it may terminate this Agreement by complying with applicable provisions of the ISO New England Operating Documents, including Sections 3.9 and 3.10 of Section I of the Tariff, as well as all other legal or regulatory requirements applicable to the Market Participant.

2.4 Other Remedies.

Nothing in Section 2.2 shall limit the remedies of the ISO under applicable law or the ISO New England Operating Documents, including the right, as applicable, to suspend the rights of one or more Assets to submit Bids, Schedules, Supply Offers or supply offers for Ancillary Services in the New England Markets or otherwise provide or receive services through the New England Transmission System.

2.5 Survival of Obligations.

Notwithstanding any termination of this Agreement, any accrued obligations under this Agreement or the ISO New England Operating Documents, including obligations for the payment of money or obligations to provide information regarding operations or activities conducted prior to termination, shall survive the termination of this Agreement.

ARTICLE 3 GENERAL TERMS AND CONDITIONS

3.1 ISO Services.

(a) The ISO agrees to operate the New England Control Area, provide transmission service through the New England Transmission System, and administer the New England Markets all in accordance with the ISO New England Operating Documents.

- (b) The ISO will monitor the New England Markets in accordance with the ISO New England Operating Documents.
- (c) The ISO will maintain procedures for interconnection of Assets with the New England Transmission System in accordance with the New England Operating Documents.
- (d) The ISO does not provide Local Service. Local Service is acquired through a separate transmission service agreement with the applicable PTO.

3.2 Service Under the Tariff.

The Market Participant accepts service under the Tariff as a participant in the New England Markets. Market Participant agrees to be bound by the terms of the ISO New England Operating Documents and to make timely payment of all amounts due under the ISO New England Operating Documents.

3.3 Registration of Assets.

- (a) The Market Participant must register each Asset of which it is the Owner that seeks eligibility to sell or purchase services in the New England Markets by complying with the requirements of the ISO New England Operating Documents including, as applicable, registration information required by Section 12.2 of ISO New England Manual 28, approval of an interconnection application required by Section I, Section 3.9 of the Tariff, compliance with the metering requirements of ISO New England Operating Procedure No. 18, and providing the electrical operating information required by ISO New England Operating Procedure No. 14. Market Participant must also register its contractual interest in any Load Asset which it has transferred to a new Owner without a corresponding transfer of legal title to the Load Asset (whether or not the Market Participant is the holder of the legal title).
- (b) The ISO shall be entitled to inspect and verify all registration information, including technical specifications, provided pursuant to Section 3.3.
- (c) The Market Participant shall provide written notice to the ISO of any proposed changes to the registration information as required by the ISO New England Operating Documents.
- (d) The Market Participant may withdraw Assets from the provision of particular services in accordance with the procedures set forth in the ISO New England Operating Documents.

3.4 Market Participant Operating Responsibilities.

The Market Participant shall direct, physically operate, repair and maintain all metering and interconnection equipment under its control and all Assets providing services through the New England Transmission System (a) consistent with New England Transmission System reliability; (b) in accordance with (i) this Agreement, (ii) all applicable provisions of the ISO New England Operating Documents and (iii) all applicable reliability guidelines, policies, standards, rules, regulations, orders, license requirements and all other requirements of NERC, NPCC, other applicable reliability organizations' reliability rules and all applicable requirements of federal or state laws or regulatory authorities; and (c) in

such a manner as to maintain safe operations, including the enforcement of rules and procedures to ensure the safety of personnel.

3.5 Reserved Rights.

- (a) Except for obligations and limitations specifically imposed by the ISO New England Operating Documents, the Market Participant retains all rights that it otherwise has incident to its ownership of and legal and equitable title to, its Assets, including all land and land rights and the right to build, acquire, sell, lease, merge, dispose of, retire, use as security, or otherwise transfer or convey all or any part of its Assets.
- (b) The Market Participant has the right to adopt and implement procedures, consistent with Good Utility Practice, and to take such actions as it deems necessary to protect its facilities from physical damage or to prevent injury or damage to persons or property.
- (c) Nothing contained in this agreement is intended to alter or waive any rights that the ISO or the Market Participant may have to make filings with the Commission under the Federal Power Act.

3.6 Participants Agreement.

By entering into this Agreement, the Market Participant agrees to be bound by the Participants Agreement, through NEPOOL or individually, as the case may be, and to pay the fees and charges specified therein. The Participants Agreement provides processes for stakeholder input, individually and collectively, into revisions of certain provisions of ISO New England Operating Documents and the planning process for the New England Transmission System.

ARTICLE 4 PROVISIONS RELATING TO BUYERS AND SELLERS

4.1 Purchases and Sales.

Market Participant's purchases from, and sales to, the ISO will be made pursuant to the terms of the ISO New England Operating Documents.

4.2 Participation in Markets and Programs.

In connection with submitting schedules, demand bids, and supply offers or withdrawing energy from the system in Real-Time or otherwise offering to provide or providing services, or offering to buy or receive services, through the New England Markets, the Market Participant agrees at all times to comply with the ISO New England Operating Documents. The Market Participant hereby warrants to the ISO that, unless the ISO New England Operating Documents specifically permit supply offers unrelated to physical parameters, whenever it submits a Supply Offer for Energy or supply offer for Ancillary Services or a demand response service, it has the capability and the intention to provide that service in accordance with the ISO New England Operating Documents and it will comply with ISO dispatch instructions for the provisions of service in accordance with the ISO New England Operating Documents.

4.3 Rate Authority.

Market Participant warrants that, at any time it has registered one or more Assets, it either (a) has on file with the Commission for each such Asset market-based rate authority or other Commission-approved basis for setting prices for services offered by means of the New England Transmission System by such Asset or (b) is exempt from the requirement to have rates for services on file with the Commission.

4.4 Central Dispatch.

The Market Participant shall, to the extent scheduled or otherwise obligated under the ISO New England Operating Documents, either individually or through the Second Restated NEPOOL Agreement, as provided therein, subject each of the Assets it owns or operates to central dispatch by the ISO, provided, however, that each Market Participant shall at all times be the sole judge as to whether or not and to what extent safety requires that at any time any of such Assets will be operated at less than their full capacity or not at all.

4.5 Disputes.

All disputes regarding amounts payable for services purchased will be handled in accordance with the ISO New England Operating Documents.

ARTICLE 5 [RESERVED]

ARTICLE 6 FORCE MAJEURE; INDEMNIFICATION AND LIABILITIES

6.1 Force Majeure Event.

An event of Force Majeure shall be as set forth in the Tariff.

6.2 Reasonable Efforts to Perform and Notice.

When the performance of either Party under this Agreement is hindered by an event of Force Majeure, that Party shall make all reasonable efforts to perform its obligations under this Agreement, and shall promptly notify the other Party and any affected Transmission Customers, if appropriate, of the commencement and end of each event of Force Majeure in accordance with the ISO New England Operating Documents.

6.3 Indemnification and Liabilities.

The indemnification responsibilities of the Parties, to the extent permitted by law, shall be as set forth in the Tariff.

ARTICLE 7 MISCELLANEOUS PROVISIONS

7.1 Commission Filing.

The ISO shall file this Agreement with, or electronically report this Agreement to, as applicable, the Commission.

7.2 Notices.

Unless otherwise expressly specified or permitted by the terms hereof, all communications and notices provided for herein shall be in writing and any such communication or notice shall become effective (a) upon personal delivery thereof, including by overnight mail or courier service, (b) in the case of notice by United States mail, certified or registered, postage prepaid, return receipt requested, upon receipt thereof, or (c) in the case of notice by facsimile, upon receipt thereof; provided that such transmission is promptly confirmed by either of the methods set forth in clauses (a) or (b) above, in each case addressed to each Party hereto at its address(es) set forth below or, at such other address(es) as such Party may from time to time designate by written notice to the other Party hereto; further provided that a notice given in connection with this Section 7.2 but received on a day other than a business day, or after business hours in the situs of receipt, will be deemed to be received on the next business day:

MARKET PARTICIPANT:

ISO New England Inc.:

MP2 Energy NE LLC

Address: 21 Waterway Avenue, Suite 450

The Woodlands, TX 77380

Attn: Vice President of Regulatory Affairs

Direct: (832) 510-1070 Fax: (832) 510-1128

E-mail: drew.baird@mp2energy.com

ISO New England Inc.

One Sullivan Road Holyoke, MA 01040 Attn: General Counsel

Tel: (413) 540-4000 Fax: (413) 535-4379

7.3 Other Agreements.

In the event of a conflict between this Agreement and other agreements with respect to subjects addressed in this Agreement, this Agreement shall govern.

7.4 Waiver.

Any term or condition of this Agreement may be waived at any time by the Party that is entitled to the benefit thereof, but no such waiver shall be effective unless set forth in a written instrument duly executed by or on behalf of the Party waiving such term or condition. No waiver by any Party of any term or condition of this Agreement, in any one or more instances, shall be deemed to be or construed as a waiver of the same or any other term or condition of this Agreement on any future occasion. All remedies, either under this Agreement or by applicable law or otherwise afforded, shall be cumulative and not alternative.

7.5 Amendment.

Except as otherwise specifically provided herein, this Agreement shall not be subject to modification or amendment unless agreed to in writing by both Parties hereto. Notwithstanding the foregoing, nothing in this Agreement shall restrict in any way the rights of either Party to submit an application under Section 206 of the Federal Power Act for revisions to this Agreement.

The Parties acknowledge that this Agreement is entered into subject to the approval and continuing jurisdiction of the Commission. The ISO will notify the Market Participant of any changes to this Agreement required or approved by the Commission. Any such changes will take effect at the times and in the manner specified by the Commission in its order requiring or approving such changes. The Market Participant may, subject to the procedures referenced in Section 2.3, terminate this Agreement rather than accept any such changes.

7.6 No Third Party Beneficiaries.

It is not the intention of this Agreement or of the Parties to confer a third party beneficiary status or rights of action upon any Person or entity whatsoever other than the Parties and nothing contained herein, either express or implied, shall be construed to confer upon any Person or entity other than the Parties any rights of action or remedies either under this Agreement or in any manner whatsoever.

7.7 No Assignment.

Neither this Agreement nor any right, interest or obligation hereunder may be assigned by a Party (including by operation of law) without the prior written consent of each other Party in its sole discretion and any attempt at assignment in contravention of this Section 7.7 shall be void.

7.8 Governing Law.

This Agreement shall be governed by and construed in accordance with the laws of the State of Delaware, including all matters of construction, validity and performance without regard to the conflicts-of-laws provisions thereof.

7.9 Consent to Service of Process.

Each of the Parties hereby consents to service of process by registered mail, Federal Express or similar courier at the address to which notices to it are to be given, it being agreed that service in such manner shall constitute valid service upon such party or its respective successors or assigns in connection with any such action or proceeding; provided, however, that nothing in this Section 7.9 shall affect the right of any such Parties or their respective successors and permitted assigns to serve legal process in any other manner permitted by applicable law or affect the right of any such Parties or their respective successors and assigns to bring any action or proceeding against any other one of such Parties or its respective property in the courts of other jurisdictions.

7.10 Dispute Resolution.

The Parties shall resolve their disputes relating to this Agreement utilizing the dispute resolution provisions of the Tariff.

7.11 Invalid Provisions.

If any provision of this Agreement is held to be illegal, invalid or unenforceable under any present or future law, and if the rights or obligations of any Party under this Agreement shall not be materially and adversely affected thereby, (a) such provision shall be fully severable, (b) this Agreement shall be construed and enforced as if such illegal, invalid or unenforceable provision had never comprised a part hereof, (c) the remaining provisions of this Agreement shall remain in full force and effect and shall not be affected by the illegal, invalid or unenforceable provision or by its severance herefrom, and (d) the court holding such provision to be illegal, invalid or unenforceable may in lieu of such provision add as a part of this Agreement a legal, valid and enforceable provision as similar in terms to such illegal, invalid or unenforceable provision as it deems appropriate.

7.12 Relationship of the Parties.

Nothing in this Agreement is intended to create a partnership, joint venture or other joint legal entity making either Party jointly or severally liable for the acts or omissions of the other Party.

7.13 Confidentiality.

Confidential information acquired by either Party pursuant to this Agreement shall be governed by the ISO New England Operating Documents.

7.14 Counterparts.

This Agreement may be executed in any number of counterparts, each of which shall be deemed an original, but all of which together shall constitute but one and the same instrument. The Parties hereto agree that any document or signature delivered by facsimile transmission shall be deemed an original executed document for all purposes hereof.

IN WITNESS WHEREOF, the Parties have caused this Agreement to be duly executed on behalf of each by and through their authorized representatives as of the date hereinabove written.

| Market Participant: | The ISO: |
|--|--|
| MP2 Energy NE LLC | ISO New England Inc. |
| By: Allinali Name: Amarda Mussalli Title: EVP and General Counse | By: Name: Robert Ethier Title: Vice President, Market Operations |
| Date: 8/22/18 ,-2018 | Date: |



Section PUC 2006.01(k) of Competitive Electric Power Supplier Application Supplemental Pages

SUPPLEMENTAL DOCUMENTATION – Section PUC 2006.01(k)
Electronic data interchange (EDI) certification form each electric distribution utility in whose franchise area the applicant intends to operate



Electronic Data Interchange (EDI) Certification

Unitil Energy Systems (UES)

Issued to:

MP2 Energy NE LLC

Represented by:

Michelle Sanchez

Issued by:

Unitil Energy Systems

Represented by:

Caitlin D. Chaput, Energy Analyst

Date:

3/27/2019

This is official notification of the successful completion of Electric EDI testing between Unitil Energy Systems and MP2 Energy. As of 3/27/19, Unitil Energy Systems does hereby declare MP2 Energy as a certified EDI trading partner capable of exchanging the following transactions:

| 810 | Invoice |
|-----|----------------------------|
| 814 | Change |
| 814 | Drop |
| 814 | Enrollment |
| 814 | Historical Usage Request |
| 820 | Payment Notification |
| 867 | Historical Usage |
| 867 | Monthly Usage |
| 997 | Functional Acknowledgement |

MP2 Energy has successfully satisfied all the requirements of connectivity with Unitil Energy Systems. MP2 Energy has also proven through detailed transaction testing its understanding of the business rules and EDI formats required for account maintenance, and billing (dual and LDC rate-ready consolidated) as described by the New Hampshire Public Utilities Commission and using V12 version 4010 standards.

Signature 3/27/2019

Date

Caitlin D. Chaput Energy Analyst Unitil Service Corp. 6 Liberty Lane West

Hampton, NH 03842-1720

 $EL_Supplier Services@unitil.com$



Section PUC 2006.01(v) of Competitive Electric Power Supplier Application Supplemental Pages

SUPPLEMENTAL DOCUMENTATION – Section PUC 2006.01(v)

Sample of the bill form(s) the applicant intends to use

MP2 Energy NE LLC

21 Waterway Avenue, Suite 450 The Woodlands, TX 77380





Customer: ABC Inc.

Billing Account#: **80371433-941-0**Invoice #: **MP2_00112233**

Customer Service (877) 238-5343

Monday - Friday 8:00 AM to 5:00 PM Central MP2customerservice-NYISO@mp2energy.com For outage or emergencies call (800) 334-7661

Summary as of May 22, 2018 (account

information starts on next page)

Previous Amount Due: \$36,515.15
Total Payments Received: \$36,515.15
Balance Forward: \$0.00
Current Charges: \$36,000.00

Amount Due June 11, 2018:

\$36,000.00

MP2 Energy NE LLC

21 Waterway Avenue, Suite 450 The Woodlands, TX 77380

Invoice #:

MP2_00112233

Billing Account #:

80371433-941-0

Date Mailed:

5/22/2018

Date Due:

6/11/2018

TOTAL AMOUNT DUE:

\$36,000.00

TOTAL AMOUNT ENCLOSED: \$

ABC Inc. P.O. Box 123 Mandan, ND 58554

Remit Payment To:

MP2 Energy NE LLC P.O. Box 21240 New York, NY 10087-1240

MP2 Energy NE LLC

Customer: ABC Inc.

Billing Account#: **80371433-941-0**Invoice #: **MP2_00112233**

Payments

Payment Details

Billing Account #: 80371433-941-0

Payment Date Payment Description Amount Total

May 10, 2018 Payment Received - Thank You \$36,515.15

ay 20, 2020 , ay ment necessed mank roa 950,515.1

Payments Received

\$36,515.15

Account Information

Account #: 80371433-941-0

LDCNumber 0011223344

Service Address: 321 S Park Ave. Concord, NH 03301
 Usage Details
 Previous
 Current

 Service Period
 Estimate Meter # Unit
 Meter Read
 Meter Read
 Mult.
 Quantity

 4/21/2018 - 5/21/2018
 N
 123456
 kWh
 4/21/2018
 5/21/2018
 1
 1,000,000.00

Charge Details

Date Charge Description Amount Total

5/21/2018 Fixed Price Energy (1,000,000.00 x \$0.036 X 30 days) \$36,000.00

Current Charges \$36,000.00